

## FORM FOR OPENING AN ACCOUNT FOR LEGAL PERSONS

### 1. HOLDER

	HOLDER 1
Language	<input type="checkbox"/> French <input type="checkbox"/> German <input type="checkbox"/> English <input type="checkbox"/> Dutch
Company name	
Legal form	
Date of incorporation	
Place of incorporation	
Registered office	Street ..... N° ..... Post code ..... Town ..... Country .....
Address of administrative office to be used for correspondence (if different from the registered office)	Street ..... N° ..... Post code ..... Town ..... Country .....
Sector of activity	
Company object	
Miscellaneous information on the legal person	Domiciliary company: <input type="checkbox"/> No <input type="checkbox"/> Yes Company that is part of a group <input type="checkbox"/> No <input type="checkbox"/> Yes, please specify ..... Company with an Internet site: <input type="checkbox"/> No <input type="checkbox"/> Yes, specify URL address ..... Number of employees: ..... Average turnover: .....
Details of majority shareholder(s)/ partner(s)/member(s)/ beneficiary(ies)/owner(s) in the case of an unlisted company.	Last name ..... First name ..... Address ..... Nationality .....
Origin of the funds to be invested (more than one option possible)	<input type="checkbox"/> Cash surplus <input type="checkbox"/> Operational stock-market transactions <input type="checkbox"/> Other .....
Projected amount of investments	<input type="checkbox"/> < CHF 100'000 <input type="checkbox"/> > CHF 100'000 et < CHF 500'000 <input type="checkbox"/> > CHF 500'000

<p>Persons holding political office</p>	<p>Does one (or more) majority shareholder/partner/member/beneficiary/owner hold (or have they held) a political office or public office at regional, national or international<sup>1</sup> level?</p> <p><input type="checkbox"/> yes                      <input type="checkbox"/> no</p> <p>Does the same apply to one of their close relatives (parents, children or partner)?</p> <p><input type="checkbox"/> yes                      <input type="checkbox"/> no</p> <p>Does one (or more) member of management hold (or have they held) a political office or public office at regional, national or international level?</p> <p><input type="checkbox"/> yes                      <input type="checkbox"/> no</p> <p>Does the same apply to one of their close relatives (parents, children or partner)?</p> <p><input type="checkbox"/> yes                      <input type="checkbox"/> no</p> <p>If you have answered yes to one of the questions above, please provide the following information:</p> <p>- Name of the person and relationship with the holder: .....</p> <p>.....</p> <p>- Precise title of the office: .....</p> <p>.....</p> <p>- Description and role of the office in hierarchical terms:</p> <p>.....</p> <p>- Date on which office was taken up and date on which it was (or will be) relinquished:</p> <p>.....</p>
<p>US person status</p>	<p>Is the holder a US taxpayer (an American joint stock company, an American collective investment undertaking, an American partnership, an American trust, a grantor trust and/or a simple trust or any other American entity)?</p> <p><input type="checkbox"/> no</p> <p><input type="checkbox"/> yes; for the following reason: .....</p> <p>Is the legal person's income linked to an activity in the United States?</p> <p><input type="checkbox"/> no</p> <p><input type="checkbox"/> yes</p>

1) As a head of state, minister, Member of Parliament, leader of a political party represented in government, senior civil servant (judicial or executive power - including the army), manager of a national public body, senior politician or senior official of an international or supranational organisation, such as the EU or NATO, etc.

## 2. CORRESPONDENT'S BANK ACCOUNT

Via the secure site, you have the possibility to give an instruction of cash transfer from your account with the Bank to a single account, opened in the name of one or several holders with another banking institution. For lack of having communicated a corresponding bank account, you can only ask for a cash transfer by signed original written demand.

Account/IBAN N° (only account in CHF or EUR)	
Name of the Bank : N° Clearing or SWIFT :	

## 3. DECLARATION

Each of the undersigned warrants and declares that:

- the information provided on this form is true, accurate and complete;
- their signature constitutes confirmation that the assets held with the Bank at any time do not originate from criminal activity;
- they pledge in favour of the Bank all the securities, stocks, assets and cash, Swiss or foreign, claims or other goods deposited now or in the future with the Bank and with its correspondents, to secure all present or future claims that the Bank might have against the Account Holder(s) pursuant to their business relationship.
- the income to which this form relates is not actually linked to the performance of a profession of operation of a company in the United States, or is actually linked to such activity but is not taxable by virtue of a tax treaty;
- they have read, understood and unreservedly accept the entire General Terms and Conditions, more specifically the terms relating to the right to pledge and set-off, governing law (i.e. Swiss law), and the choice of court for any proceedings (i.e. the Courts of Geneva), subject to recourse to the Swiss Federal Supreme Court in the cases provided for by law, the scales of charges and interest rates, and the terms of use of the [www.keytradebank.ch](http://www.keytradebank.ch) site, and acknowledge that these documents are available at all times on the [www.keytradebank.ch](http://www.keytradebank.ch) site, on the transactional site or on request from the Bank;
- they have received the brochure of the Swiss Bankers Association entitled «Special Risks in Securities Trading», have taken cognisance of its contents, understood the nature and extent of the risks described therein, accept these risks and acknowledge that this brochure is available at all times on the [www.keytradebank.ch](http://www.keytradebank.ch) site, on the transactional site or on request from the Bank
- they accept liability for and all the risks relating to orders sent to the Bank, on the understanding that the Bank may not be held liable for the advisability of their investment decisions and the financial consequences of orders;
- they are non-professional users of the financial information provided by the Bank in accordance with Article 23 of the General Terms and Conditions, will notify the Bank of any change and will not sell on or redistribute the said financial information in any way whatsoever,
- they undertake to immediately notify the Bank of any change in the information provided, including any change in (non) resident status for tax purposes.

Place and date

Signature of authorised signatory(ies)  
according to the Trade Register

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HAVE YOU ANSWERED ALL QUESTIONS AND INCLUDED ALL THE REQUISITE ENCLOSURES ?

Your application will only be processed quickly if your file is complete and includes all the requisite enclosures.

Enclosures that must be filled in, signed and returned to us:

- Declaration of identity of the beneficial owner
- W-8BEN form
- The «Power of Attorney» form if you wish to appoint a third person
- A copy of an identity document for each person who fills in and signs the Form for Opening an Account for Legal Persons; this copy must be done by us or certified by a notary, a public body that usually certifies identity documents or the Swiss Post Office by means of its simplified «Yellow Identification» service;
- A simple copy of an identity document for each authorised signatory for the account;
- A simple copy of an identity document of the beneficial owner if a person other than the account holder has been indicated as the beneficial owner of the account.
- For legal persons not registered in the Swiss Trade Register, a certified copy of the company's Memorand Articles of Association or equivalent document and official certification of the managers' signing powers.

If you would like to open an account, please check out our Check list for opening your account by mail.



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Keytrade Bank, the specialist in online trading and investment, is part of the Crédit Agricole Group

Account/EJ :  
\_\_\_\_\_DECLARATION OF IDENTITY OF THE BENEFICIAL OWNER <sup>1</sup>(FORM A PURSUANT TO ART.3 AND 4 CDB) <sup>2</sup>Contracting partner:  
\_\_\_\_\_

The contracting partner hereby declares that the individual(s)/partnership(s)/legal entity (entities) listed below is/are the beneficial owner(s) of the assets deposited under the above relationship. If the contracting partner is also the sole beneficial owner of the assets, the contracting partner's details must be set out below :

Last name/Company name		
First name		
Address/ Country	Street.....	Street.....
	.....	.....
	N° .....	N° .....
	Zip code .....	Zip code .....
	City.....	City.....
	Country.....	Country.....
Date of birth Nationality		

The contracting partner undertakes to automatically inform the bank of any changes

Date  
\_\_\_\_\_Signature  
\_\_\_\_\_

It is a criminal offence to deliberately provide false information on this form (Art. 251 of the Swiss Penal Code, document forgery; penalty: imprisonment for up to five years or a fine).

1) The beneficial owner is the « economical owner » of the assets that will be deposited in the account. The beneficial owner is the person who has a financial interest in the deposited assets. The status of beneficial owner should not be confused with that of holder of a power of attorney or co-holder of the account (joint account) If you wish to grant someone authorisation to dispose of the assets in the Account (power of attorney), please duly complete the form "Power of Attorney" which is available in our website [www.keytradebank.ch](http://www.keytradebank.ch).

2) In accordance with the articles 3 and 4 of the Agreement on the Swiss banks' code of conduct with regard to the exercise of due diligence (CDB 08).



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Form **W-8BEN**

(Rev. February 2006)  
Department of the Treasury  
Internal Revenue Service

**Certificate of Foreign Status of Beneficial Owner  
for United States Tax Withholding**

▶ Section references are to the Internal Revenue Code. ▶ See separate instructions.  
▶ Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do not use this form for:

- A U.S. citizen or other U.S. person, including a resident alien individual . . . . . **W-9**
- A person claiming that income is effectively connected with the conduct of a trade or business in the United States . . . . . **W-8ECI**
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (see instructions for exceptions) . . . . . **W-8ECI or W-8IMY**
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession that received effectively connected income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (see instructions) . . . . . **W-8ECI or W-8EXP**

Note: These entities should use Form W-8BEN if they are claiming treaty benefits or are providing the form only to claim they are a foreign person exempt from backup withholding.

- A person acting as an intermediary . . . . . **W-8IMY**
- Note: See instructions for additional exceptions.

**Part I Identification of Beneficial Owner** (See instructions.)

1 Name of individual or organization that is the beneficial owner 2 Country of incorporation or organization

3 Type of beneficial owner:  Individual  Corporation  Disregarded entity  Partnership  Simple trust  
 Grantor trust  Complex trust  Estate  Government  International organization  
 Central bank of issue  Tax-exempt organization  Private foundation

4 Permanent residence address (street, apt. or suite no., or rural route). **Do not use a P.O. box or in-care-of address.**

City or town, state or province. Include postal code where appropriate. Country (do not abbreviate)

5 Mailing address (if different from above)

City or town, state or province. Include postal code where appropriate. Country (do not abbreviate)

6 U.S. taxpayer identification number, if required (see instructions) 7 Foreign tax identifying number, if any (optional)  
 SSN or ITIN  EIN

8 Reference number(s) (see instructions)

**Part II Claim of Tax Treaty Benefits** (if applicable)

9 I certify that (check all that apply):

a  The beneficial owner is a resident of \_\_\_\_\_ within the meaning of the income tax treaty between the United States and that country.

b  If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).

c  The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits (see instructions).

d  The beneficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation, and meets qualified resident status (see instructions).

e  The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file Form 8833 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article \_\_\_\_\_ of the treaty identified on line 9a above to claim a \_\_\_\_\_ % rate of withholding on (specify type of income): \_\_\_\_\_  
 Explain the reasons the beneficial owner meets the terms of the treaty article: \_\_\_\_\_

**Part III Notional Principal Contracts**

11  I have provided or will provide a statement that identifies those notional principal contracts from which the income is **not** effectively connected with the conduct of a trade or business in the United States. I agree to update this statement as required.

**Part IV Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

1 I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates.

2 The beneficial owner is not a U.S. person.

3 The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, **and**

4 For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

Sign Here Date (MM-DD-YYYY) Capacity in which acting

Signature of beneficial owner (or individual authorized to sign for beneficial owner)